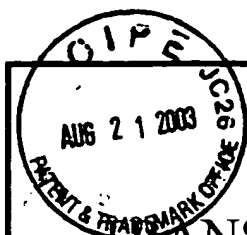


3737



# TRANSMITTAL FORM

|                           |                  |
|---------------------------|------------------|
| Application Serial Number | 09/841,325       |
| Filing Date               | April 24, 2001   |
| First Named Inventor      | Modell           |
| Group Art Unit            | 3737             |
| Examiner Name             | Not yet assigned |
| Attorney Docket No.       | MDS-009CN        |
| Patent No.                | Not applicable   |
| Issue Date                | Not applicable   |

## ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><br><input type="checkbox"/> Petition for Extension of Time<br><br><input checked="" type="checkbox"/> Third Supplemental Information Disclosure Statement (3 pgs.)<br><input checked="" type="checkbox"/> Third Supplemental Form PTO-1449 (1 pg.)<br><input checked="" type="checkbox"/> Copies of IDS Citations (B28-B29)<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> CD(s) for large table or computer program<br><br><input type="checkbox"/> Amendment After Allowance<br><br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Brief (in triplicate)<br><br><input type="checkbox"/> Status Inquiry<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|---|---|---|

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## CORRESPONDENCE ADDRESS

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 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: August 19, 2003  
 Reg. No.: 36,748  
 Tel. No.: (617) 248-7870  
 Fax No.: (617) 248-7100

Respectfully submitted,

John V. Bianco  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



PATENT  
Attorney Docket No.: MDS-009CN  
(6219/15)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Modell *et al.*  
SERIAL NO.: 09/841,325 GROUP NO.: 3737  
FILING DATE: April 24, 2001 EXAMINER: Not yet assigned  
TITLE: Spectral Volume Microprobe Arrays

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 19 day of August, 2003.

  
Karina L. Branzetti

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Third Supplemental Information Disclosure Statement (3 pgs.);
3. Third Supplemental Form PTO-1449 (1 pg.);
4. Copies of Cited References (B28-B29); and
5. Return Receipt Postcard.

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#9 IDS  
9/10/03

PATENT  
Attorney Docket No. MDS-009CN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Modell et al.  
SERIAL NO.: 09/841,325 GROUP NO.: 3737  
FILING DATE: April 24, 2001 EXAMINER: Not yet assigned  
TITLE: Spectral Volume Microprobe Arrays

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

In addition, Applicants wish to call to the Examiner's attention Office Actions issued in the following commonly-owned, co-pending patent applications:

- 1) U.S. Serial No. 09/591,706, Attorney Docket No. MDS-012, filed on 6/9/00, by Nordstrom et al.;
- 2) U.S. Serial No. 09/738,614, Attorney Docket No. MDS-013A, filed on 12/15/00, by Kaufman et al.;
- 3) U.S. Serial No. 10/068,133, Attorney Docket No. MDS-013AC2, filed on 2/5/02, by Kaufman et al.;
- 4) U.S. Serial No. 09/738,147, Attorney Docket No. MDS-013B, filed on 12/15/00, by Kaufman et al.;
- 5) U.S. Serial No. 10/411,777, Attorney Docket No. MDS-013BC1, filed on 4/11/03, by Kaufman et al.;
- 6) U.S. Serial No. 10/178,772, Attorney Docket No. MDS-014CN, filed on 6/24/02, by Modell et al.;
- 7) U.S. Serial No. 10/122,429, Attorney Docket No. MDS-015CN, filed on 4/12/02, by Nordstrom et al.;
- 8) U.S. Serial No. 09/481,762, Attorney Docket No. MDS-016, filed on 1/11/00, by Modell et al.;
- 9) U.S. Serial No. 09/738,612, Attorney Docket No. MDS-020, filed on 12/15/00, by Costa et al.;
- 10) U.S. Serial No. 10/071,932, Attorney Docket No. MDS-022C1, filed on 2/8/02, by Nordstrom et al.;
- 11) U.S. Serial No. 10/192,820, Attorney Docket No. MDS-028, filed on 7/10/02, by Schomacker et al.;
- 12) U.S. Serial No. 10/099,881, Attorney Docket No. MDS-030, filed on 3/15/02, by Kaufman et al.;
- 13) U.S. Serial No. 10/273,511, Attorney Docket No. MDS-031, filed on 10/18/02, by Clune et al.;
- 14) U.S. Serial No. 10/192,836, Attorney Docket No. MDS-032, filed on 7/10/02, by Zelenchuk;
- 15) U.S. Serial No. 10/243,535, Attorney Docket No. MDS-033, filed on 9/13/02, by Schomacker et al.;
- 16) U.S. Serial No. 10/295,794, Attorney Docket No. MDS-034, filed on 11/15/02, by Schomacker et al.;
- 17) U.S. Serial No. 10/418,922, Attorney Docket No. MDS-035, filed on 4/18/03, by Flewelling et al.;
- 18) U.S. Serial No. 10/418,902, Attorney Docket No. MDS-035A, filed on 4/18/03, by Jiang et al.;
- 19) U.S. Serial No. 10/418,974, Attorney Docket No. MDS-035B, filed on 4/18/03, by Jiang;
- 20) U.S. Serial No. 10/418,668, Attorney Docket No. MDS-035D, filed on 4/18/03, by Costa et al.;
- 21) U.S. Serial No. 10/418,975, Attorney Docket No. MDS-035E, filed on 4/18/03, by Jiang et al.;
- 22) U.S. Serial No. 10/418,415, Attorney Docket No. MDS-035F, filed on 4/18/03, by Schomacker et al.;
- 23) U.S. Serial No. 10/419,181, Attorney Docket No. MDS-035G, filed on 4/18/03, by Jiang et al.; and
- 24) U.S. Serial No. 10/418,973, Attorney Docket No. MDS-035H, filed on 4/18/03, by Schomacker et al.

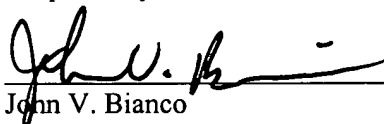
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



John V. Bianco  
Attorney for Applicants  
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Date: August 19, 2003  
Reg. No. 36,748

Tel. No.: (617) 248-7870  
Fax No.: (617) 248-7100

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|  |   |                        |             |   |                        |                    |                                   |                      |                           |
|--|---|------------------------|-------------|---|------------------------|--------------------|-----------------------------------|----------------------|---------------------------|
| <b>FORM PTO - 1449</b>                                     |   |                        |             | <b>ATTORNEY DOCKET NO.:</b> MDS-009CN     |                        |                    |                                   |                      |                           |
| <b>THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> |   |                        |             | <b>APPLICANT(S):</b> Modell <i>et al.</i> |                        |                    |                                   |                      |                           |
|  |   |                        |             | <b>SERIAL NO.:</b> 09/841,325             |                        |                    |                                   |                      |                           |
|  |   |                        |             | <b>FILING DATE:</b> April 27, 2001        |                        | <b>GROUP:</b> 3737 |                                   |                      |                           |
| <b>U.S. PATENT DOCUMENTS</b>                               |   |                        |             |   |                        |                    |                                   |                      |                           |
| <b>EXAM. INIT.</b>   |   | <b>DOCUMENT NUMBER</b> | <b>DATE</b> | <b>NAME</b>                               | <b>CLASS</b>           | <b>SUB CLASS</b>   | <b>FILING DATE IF APPROPRIATE</b> |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
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|  |   |                        |             |   |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
| <b>FOREIGN PATENT DOCUMENTS</b>                            |   |                        |             |   |                        |                    |                                   |                      |                           |
| <b>EXAM. INIT.</b>   |   | <b>DOCUMENT NUMBER</b> | <b>DATE</b> | <b>COUNTRY CODE</b>                       | <b>CLASS</b>           | <b>SUB CLASS</b>   | <b>FILING DATE</b>                | <b>ABSTRACT ONLY</b> | <b>ENGLISH LANG (Y/N)</b> |
|  | B28   | 95/00067               | 01/05/95    | WO  |                        |                    |                                   |                      |                           |
|  | B29   | 08-280602              | 10/29/96    | JP  |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
| <b>OTHER ART, JOURNAL ARTICLES, ETC.</b>                   |   |                        |             |   |                        |                    |                                   |                      |                           |
| <b>EXAM. INIT.</b>   | <b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b> |                        |             |   |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
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|  |   |                        |             |   |                        |                    |                                   |                      |                           |
|  |   |                        |             |   |                        |                    |                                   |                      |                           |
| <b>EXAMINER</b>  |   |                        |             |   | <b>DATE CONSIDERED</b> |                    |                                   |                      |                           |